

<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> <small>(use several sheets if necessary)</small> 	<b>Docket Number:</b>	<b>Application Number:</b>
	1001.1507101	09/912,862
	<b>Applicant:</b> Krolik et al.	
	<b>Filing Date:</b> July 24, 2001	<b>Group Art Unit:</b> 3731

## **U.S. Patent Documents**

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## FOREIGN PATENT DOCUMENTS

✓	WO 01/10343 A1	02/15/01	PCT		

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER: <i>Kerry Niles</i>	DATE CONSIDERED: <i>6/9/03</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM PTO-1449	Atty. Docket No.: 1001.1507101	Serial No.: 09/912,862
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Krolik et al.
	Filing Date	Group Art:
	July 24, 2001	3763

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
VP	AA 5,893,869	04/13/1999	Barnhart et al.	606	200	✓
OB	AB 6,152,947	11/28/2000	Ambrisco et al.	606	200	✓
AC						→
AD						→
AE						→
AF						→
AG						→
AH						→
AI						→
AJ						→
AK						→

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AL						
	AM						
	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

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6/5/03

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FORM PTO-1449	O I P E AUG 2001 P A T E N T & T R A D E M A R K C O M	Atty. Docket No.: 1001.1507101	Serial No.: 09/912,862
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Krolik et al.	
		Filing Date: July 25, 2001	Group Art: Unknown

## PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
VJ	6,214,026	04/10/01	Lepak et al.	606	200	
	6,203,561	03/20/01	Ramee et al.	606	200	
	6,179,861	01/30/01	Khosravi et al.	606	200	
	6,179,859	01/30/01	Bates et al.	606	200	
	6,179,851	01/30/01	Barbut et al.	606	159	
	6,171,327	01/09/01	Daniel et al.	606	200	
	6,168,579	01/02/01	Tsugita	604	96.01	
	6,165,200	12/26/00	Tsugita et al.	606	200	
	6,152,946	11/28/00	Broome et al.	606	200	
	6,142,987	11/07/00	Tsugita	604	500	
	6,129,739	10/10/00	Khosravi	606	200	
	6,086,605	07/11/00	Barbut et al.	606	200	
	6,068,645	05/30/00	Tu	606	200	
	6,059,814	05/09/00	Ladd	606	200	
	6,053,932	04/25/00	Daniel et al.	606	200	
	6,051,014	04/18/00	Jang	606	200	
	6,027,520	02/22/00	Tsugita et al.	606	200	
	6,013,085	01/11/00	Howard	606	108	
	6,010,522	01/04/00	Barbut et al.	606	200	
	6,007,557	12/28/99	Ambrisco et al.	606	200	
	6,001,118	12/14/99	Daniel et al.	606	200	
	5,997,557	12/07/99	Barbut et al.	606	159	
	5,993,469	11/30/99	McKenzie et al.	606	159	
	5,989,281	11/23/99	Barbut et al.	606	200	
	5,989,271	11/23/99	Bonnette et al.	606	159	

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*Karen Vito*

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
JP	5,989,210	11/23/99	Morris et al.	604	22	
	5,976,172	11/02/99	Homsma et al.	606	200	
	5,954,745	09/21/99	Gertler et al.	606	200	
	5,951,585	09/14/99	Cathcart et al.	606	198	
	5,947,995	09/07/99	Samuels	606	200	
	5,941,896	08/24/99	Kerr	606	200	
	5,941,869	08/24/99	Patterson et al.	604	508	
	5,938,645	08/17/99	Gordon	604	264	
	5,935,139	08/10/99	Bates	606	159	
	5,934,284	08/10/99	Plaia et al.	128	898	
	5,928,218	07/27/99	Gelbfish	604	540	
	5,928,203	07/27/99	Davey et al.	604	247	
	5,925,063	07/20/99	Khosravi	606	200	
	5,925,062	07/20/99	Purdy	606	200	
	5,925,060	07/20/99	Forber	606	191	
	5,925,016	07/20/99	Chornenky et al.	604	96	
	5,916,193	06/29/99	Stevens et al.	604	53	
	5,911,734	06/15/99	Tsugita et al.	606	200	
	5,910,154	06/08/99	Tsugita et al.	606	200	
	5,908,435	06/01/99	Samuels	606	200	
	5,906,618	05/25/99	Larson, III	606	108	
	5,902,263	05/11/99	Patterson et al.	604	22	
	5,895,399	04/20/99	Barbut et al.	606	159	
	5,893,867	04/13/99	Bagaoisan et al.	606	198	
	5,876,367	03/02/99	Kaganov et al.	604	8	
G	5,848,964	12/15/98	Samuels	600	200	

EXAMINER:

*Kaganov Vicki*

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*6/5/03*

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		Filing Date: July 25, 2001	Group Art: Unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
/M	5,846,260	12/08/98	Maahs	606	200	
	5,833,650	11/10/98	Imran	604	53	
	5,833,644	11/10/98	Zadno-Azizi et al.	604	52	
	5,827,324	10/27/98	Cassell et al.	606	200	
	5,817,102	10/06/98	Johnson et al.	606	108	
	5,814,064	09/29/98	Daniel et al.	606	200	
	5,810,874	09/22/98	Lefebvre	606	200	
	5,800,525	09/01/98	Bachinski et al.	623	1	
	5,800,457	09/01/98	Gelbfish	606	200	
	5,797,952	08/25/98	Klein	606	198	
	5,795,322	08/18/98	Boudewijn	604	22	
	5,792,300	08/11/98	Inderbitzen et al.	156	244.13	
	5,779,716	07/14/98	Cano et al.	606	114	
	5,769,816	06/23/98	Barbut et al.	604	96	
	5,749,848	05/12/98	Jang et al.	604	53	
	5,746,758	05/05/98	Nordgren et al.	606	159	
	5,728,066	03/17/98	Daneshvar	604	96	
	5,720,764	02/24/98	Naderlinger	606	200	
	5,709,704	01/20/98	Nott et al.	606	200	
	5,695,519	12/09/97	Summers et al.	606	200	
	5,669,933	09/23/97	Simon et al.	600	200	
	5,662,671	09/02/97	Barbut et al.	606	170	
	5,658,296	08/19/97	Bates et al.	606	127	
	5,634,897	06/03/97	Dance et al.	604	35	
	5,569,275	10/29/96	Kotula et al.	606	159	
	5,569,274	10/29/96	Rapacki et al.	606	158	

EXAMINER:

*Kory Wicks*

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
JM	5,562,724	10/08/96	Vowerk et al.	623	1	
	5,549,626	08/27/96	Miller et al.	606	200	
	5,540,707	07/30/96	Ressemann et al.	606	159	
	5,536,242	07/16/96	Willard et al.	604	30	
	5,527,354	06/18/96	Fontaine et al.	623	1	
	5,512,044	04/30/96	Duer	604	22	
	5,507,767	04/16/96	Maeda et al.	606	198	
	5,484,418	01/16/96	Quiachon et al.	604	167	
	5,476,104	12/19/95	Sheahon	128	757	
	5,462,529	10/31/95	Simpson et al.	604	101	
	5,456,667	10/10/95	Ham et al.	604	107	
	5,449,372	09/12/95	Schmaltz et al.	606	198	
	5,443,498	08/22/95	Fontaine	623	1	
	5,425,765	06/20/95	Tiefenbrun et al.	623	12	
	5,423,885	06/13/95	Williams	623	1	
	5,423,742	06/13/95	Theron	604	28	
	5,421,832	06/06/95	Lefebvre	604	53	
	5,419,774	05/30/95	Willard et al.	604	22	
	5,415,630	05/16/95	Gory et al.	604	53	
	5,409,454	04/25/95	Fischell et al.	604	22	
	5,405,377	04/11/95	Cragg	623	1	
	5,397,345	03/14/95	Lazerus	623	1	
	5,395,349	03/07/95	Quiachon et al.	604	248	
	5,387,235	02/07/95	Chuter	623	1	
	5,383,926	01/24/95	Lock et al.	623	1	
	5,383,892	01/24/95	Cardon et al.	606	198	

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*PGuy Voker*

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*6/9/03*

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		Filing Date: July 25, 2001	Group Art: Unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
✓W	5,383,887	01/24/95	Nadal	606	200	
	5,376,100	12/27/94	Lefebvre	606	180	
	5,370,683	12/06/94	Fontaine	623	1	
	5,370,657	12/06/94	Irie	606	200	
	5,366,473	11/22/94	Winston et al.	606	198	
	5,366,464	11/22/94	Belknap	606	159	
	5,356,423	10/18/94	Tihon et al.	606	194	
	5,354,310	10/11/94	Garnic et al.	606	198	
	5,350,398	09/27/94	Pavcnik et al.	606	200	
	5,330,500	07/19/94	Song	606	198	
	5,330,484	07/19/94	Gunther	606	128	
	5,329,942	07/19/94	Gunther et al.	128	898	
	5,318,576	06/07/94	Plassche, Jr. et al.	606	159	
	5,314,472	05/24/94	Fontaine	623	12	
	5,314,444	05/24/94	Gianturco	606	195	
	5,306,286	04/26/94	Stack et al.	606	198	
	5,224,953	07/06/93	Morgentaler	606	192	
	5,195,955	03/23/93	Don Michael	604	22	
	5,190,546	03/02/93	Jervis	606	78	
	5,171,233	12/15/92	Amplatz et al.	604	281	
	5,160,342	11/03/92	Reger et al.	606	200	
	5,152,777	10/06/92	Goldberg et al.	606	200	
	5,152,771	10/06/92	Sabbaghian et al.	606	159	
	5,135,531	08/04/92	Shiber	606	159	
	5,133,733	07/28/92	Rasmussen et al.	606	200	
✓	5,108,419	04/28/92	Reger et al	606	200	

EXAMINER:

*Kryz. Viger*

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	Filing Date: July 25, 2001	Group Art: Unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
VH	5,104,399	04/14/92	Lazarus	623	1	
	5,102,415	04/07/92	Guenther et al.	606	159	
	5,100,425	03/31/92	Fischell et al.	606	159	
	5,100,424	03/31/92	Jang et al.	606	159	
	5,100,423	03/31/92	Fearnott	606	15	
	5,087,265	02/11/92	Summers	606	159	
	5,085,662	02/04/92	Willard	606	159	
	5,071,425	12/10/91	Gifford, III et al.	606	159	
	5,071,407	12/10/91	Termin et al.	604	104	
	5,053,044	10/01/91	Mueller et al.	606	159	
	5,053,008	10/01/91	Bajaj	604	104	
	5,041,126	08/20/91	Gianturco	606	195	
	5,019,088	05/28/91	Farr	606	159	
	5,011,488	04/30/91	Ginsburg	606	159	
	5,007,917	04/16/91	Evans	606	170	
	5,007,896	04/16/91	Shiber	604	22	
	5,002,560	03/26/91	Machold et al.	606	198	
	4,998,539	03/12/91	Delsanti	128	898	
	4,986,807	01/22/91	Farr	604	22	
	4,979,951	12/25/90	Simpson	606	159	
	4,969,891	11/13/90	Gewertz	606	200	
	4,957,482	09/18/90	Shiber	604	22	
	4,955,895	09/11/90	Sugiyama et al.	606	194	
	4,950,277	08/21/90	Farr	606	159	
	4,926,858	05/22/90	Gifford, III et al.	606	159	
	4,921,484	05/01/90	Hillstead	604	104	

EXAMINER:

*Krolik et al.*

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		Filing Date: July 25, 2001	Group Art: Unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
JK	4,921,478	05/01/90	Solano et al.	604	53	
	4,907,336	03/13/90	Gianturco	29	515	
	4,898,575	02/06/90	Fischell et al.	604	22	
	4,873,978	10/17/89	Ginsburg	128	345	
	4,867,157	09/19/89	McGurk-Burleson et al.	128	305	
	4,857,046	08/15/89	Stevens et al.	604	22	
	4,857,045	08/15/89	Rydell	604	22	
	4,842,579	06/27/89	Shiber	606	22	
	4,807,626	02/28/89	McGirr	128	328	
	4,800,882	01/31/89	Gianturco	128	343	
	4,794,931	01/03/89	Yock	128	660.03	
	4,794,928	01/03/89	Kletschka	128	344	
	4,790,813	12/13/88	Kensey	604	22	
	4,790,812	12/13/88	Hawkins, Jr. et al.	604	22	
	4,733,665	03/29/88	Palmaz	128	343	
	4,728,319	03/01/88	Masch	604	22	
	4,723,549	02/09/88	Wholey et al.	128	344	
	4,706,671	11/17/87	Weinrib	128	348.1	
	4,705,517	11/10/87	DiPisa, Jr.	623	12	
	4,662,885	05/05/87	DiPisa, Jr.	623	12	
	4,650,466	03/17/87	Luther	604	96	
	4,643,184	02/17/87	Mobin-Uddin	128	303	
	4,631,052	12/23/86	Kensey	604	22	
	4,619,246	10/28/86	Molgaard-Nielsen et al.	128	1	
	4,590,938	05/27/86	Segura et al.	128	328	
	4,580,568	04/08/86	Gianturco	128	345	

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1001.1507101Serial No.:  
09/912,862LIST OF PATENTS AND PUBLICATIONS FOR  
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STATEMENTApplicant:  
Krolik et al.Filing Date:  
July 25, 2001Group Art:  
Unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
✓n	4,447,227	05/08/84	Kotsanis	604	95	
	4,425,908	01/17/84	Simon	128	1	
	4,046,150	09/06/77	Schwartz et al.	128	328	
	3,996,938	12/14/76	Clark, III	128	348	
	3,952,747	04/27/76	Kimmell, Jr.	128	303R	
	3,889,657	06/17/75	Baumgarten	128	2	
	3,683,904	08/15/72	Forster	128	127	
	3,592,186	07/13/71	Oster	128	2R	
	3,472,230	10/1969	Fogarty	128	328	
	Re 33,569	04/1991	Gifford, III et al.	606	159	
	B1 4,842,579	10/31/95	Shiber	604	22	

## FOREIGN PATENT DOCUMENTS

✓n	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	WO 00/49970	08/31/00	WIPO	A61F	2/01	
	WO 00/16705	03/30/00	WIPO	A61B	17/22	
	WO 00/09054	02/24/00	WIPO	A61F	7/12	
	WO 00/07655	02/17/00	WIPO	A61M	29/00	
	WO 99/58068	11/18/99	WIPO	A61B	17/22	
	WO 99/55236	11/04/99	WIPO	A61B	17/00	
	WO 99/44542	09/10/99	WIPO	A61F	2/06	
	WO 99/44510	09/10/99	WIPO	A61B	17/00	
	EP 0 934 729	08/11/99	WIPO	A61B	17/22	
	WO 99/42059	08/26/99	WIPO	A61F	2/06	
✓n	WO 99/40964	08/19/99	WIPO	A61M	29/02	

EXAMINER:

*Janne*

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*6/9/02*

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
/	WO 99/30766	06/24/99	WIPO	A61M	29/00	
	WO 99/25252	05/27/99	WIPO	A61B	17/00	
	WO 99/23976	05/20/99	WIPO	A61F	2/01	
	WO 99/22673	05/14/99	WIPO	A61F	2/01	
	FR 2 768 326 A1	03/19/99	FR	A61F	02/01	English Abstrast
	WO 99/09895	03/04/99	WIPO	A61B	17/12	
	WO 98/55175	12/10/98	WIPO	A61M	29/00	
	WO 98/51237	11/19/98	WIPO	A61F	2/01	
	WO 98/50103	11/12/98	WIPO	A61M	29/00	
	WO 98/49952	11/12/98	WIPO	A61B	17/32	
	WO 98/47447	10/29/98	WIPO	A61F	2/06	
	WO 98/46297	10/22/98	WIPO	A61M	29/00	
	WO 98/39053	09/11/98	WIPO	A61M	29/00	
	WO 98/39046	09/11/98	WIPO	A61M	25/00	
	WO 98/38929	09/11/98	WIPO	A61B	17/22	
	WO 98/38920	09/11/98	WIPO	A61B	17/00	
	WO 98/36786	08/27/98	WIPO	A61M	5/32	
	WO 98/34673	08/13/98	WIPO	A61M	31/00	
	WO 98/33443	08/06/98	WIPO	A61B	17/22	
	EP 0 852 132 A1	07/08/98	EPO	A61F	2/01	English Abstract
	WO 98/23322	06/04/98	WIPO	A61M	29/00	
	WO 98/02084	01/22/98	WIPO	A61B		
	WO 98/02112	01/22/98	WIPO	A61F	2/01	English Abstract
	WO 97/42879	11/20/97	WIPO	A61B	17/00	
	WO 97/27808	08/07/97	WIPO	A61B	17/22	
	EP 0 784 988 A1	07/23/97	EPO	A61M	5/165	

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*Harley Van*

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FORM PTO-1449		Atty. Docket No.: 1001.1507101	Serial No.: 09/912,862
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Krolik et al.	
		Filing Date: July 25, 2001	Group Art: Unknown

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
/N		WO 97/17100	05/15/97	WIPO	A61M	29/00	
		EP 0 771 549 A2	05/07/97	EPO	A61F	2/01	English Abstract
		EP 0 759 287 A1	02/26/97	EPO	A61F	2/01	English Abstract
		EP 0 743 046 A1	11/20/96	EPO	A61F	2/01	
		WO 96/33677	10/31/96	WIPO	A61F	11/00	
		EP 0 737 450 A1	10/16/96	EPO	A61F	2/01	
		WO 96/23441	08/08/96	WIPO	A61B	5/00	
		JP 8-187294 A	07/23/96	JP	A61M	29/00	English Abstract
		WO 96/19941	07/04/96	WIPO	A61B	17/00	
		WO 96/10375	04/11/96	WIPO	A61F	2/06	
		WO 96/01591	01/25/96	WIPO	A61B	17/22	
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		EP 0 655 228 A1	11/22/94	EPO	A61F	2/02	English Abstract
		WO 94/24946	11/10/94	WIPO	A61B	17/22	
		WO 94/14389	07/07/94	WIPO	A61F	2/02	English Abstract
		EP 0 533 511 A1	03/24/93	EP	A61M	29/02	
		FR 2 666 980	03/27/92	FR	A61F	2/02	English Abstract
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		EP 0 472 334 A1	02/26/92	EP	A61F	2/02	
		EP 0 437 121 B1	07/17/91	EP	A61F	2/02	
		EP 0 427 429 A2	05/15/91	EPO	A61M	25/10	
		EP 0 411 118 A1	02/06/91	EP	A61M	25/00	
		DE 40 30 998 A1	10/1990	Germany	A61F	2/01	
		FR 2 643 250 A1	08/24/90	FR	A61B	17/00	English Abstract

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*Karen C.*

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*6/9/03*

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Krolik et al.
	Filing Date: July 25, 2001	Group Art: Unknown

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
✓	EP 0 293 605 A1	12/07/88	EP	A61F	2/02	
✓	FR 2 580 504	10/24/86	FR	A61M	1/00	English Abstract
✓	EP 0 200 688	11/05/86	EP	A61B	17/22	
✓	DE 34 17 738	11/14/85	DE	A61M	1/34	Yes
✓	SU 764684	09/25/80	U.S.S.R.	A61M	25/00	Yes
✓	UK 2 020 557 B	01/19/83	UK	A61B	17/50	
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✓	"Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke," <u>The New England Journal of Medicine</u> , pp. 1216-1221 (May 1996)
✓	"Endovascular Grafts, Stents Drive Interventional Radiology Growth," <u>Cardiovascular Device Update</u> , 2(3):1-12 (March 1996)
✓	"Protruding Atheromas in the Thoracic Aortic and Systemic Embolization," pp. 423-427 <u>American College of Physicians</u> (1991)
✓	"Recognition and Embolic Potential of Intraaortic Atherosclerotic Debris," <u>American College of Cardiology</u> (January 1991)
✓	Cragg, Andrew et al., "A New Percutaneous Vena Cava Filter," <u>AJR</u> , 141:601-604 (September 1983)
✓	Cragg, Andrew et al., "Nonsurgical Placement of Arterial Endoprosthesis: A New Technique Using Nitinol Wire," <u>AJR</u> , pp. 261-263 (April 1983)
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Atty. Docket No.:  
1001.1507101Serial No.:  
09/912,862

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APPLICANT'S INFORMATION DISCLOSURE  
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14	Lesh, "Can Catheter Ablation Cure Atrial Fibrillation?" <u>ACC Current Journal Review</u> , pp. 38-40 (September/October 1997)
	Lund et al., "Long-Term Patency of Ductus Arteriosus After Balloon Dilation: an Experimental Study," <u>Laboratory Investigation</u> , 69(4):772-774 (April 1984)
	Marache et al., "Percutaneous Transluminal Venous Angioplasty...," <u>American Heart Journal</u> , 125(2 Pt 1):362-366 (February 1993)
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6	Wholey, Mark H. et al., PTA and Stents in the Treatment of Extracranial Circulation," <u>The Journal of Invasive Cardiology</u> , 8(E):25E-30E (1996)

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*Henry V. Chen*

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